# Obstructive Sleep Apnea Epidemiology and Diagnosis

Aneesa M. Das, MD

Division of Pulmonary, Allergy, Critical Care and Sleep Medicine

#### **Epidemiology of Sleep Disorders**

- Prevalence of common sleep disorders
  - Insomnia 10-30%
  - Sleep Apnea 3%
  - RLS 10%
  - Narcolepsy 0.05%

#### **Epidemiology of Sleep Disorders**

#### **Epidemiology of Sleep Disorders**

- Prevalence of common sleep disorders
  - Insomnia 10-30%
  - Sleep Apnea 3%
  - RLS 10%
  - Narcolepsy 0.05%
- Diagnoses of patients presenting to sleep centers
  - Sleep apnea 67.8%
  - RLS 4.9%
  - Narcolepsy 3.2%

Coleman II Sleep 2000

#### **Prevalence**

The "classic" prevalence of sleep apnea:

2% for women, 4% for men\*

- Obtained from people 30 60 years old
- Defined sleep apnea as AHI > 5 + EDS
- Hypopnea: "discernable reduction + 4% desaturation
- Apnea: 10 second cessation
- Sleepiness: > 2X/week sleepiness that interferes with daily living

\*Young T. NEJM 1993

#### The Cleveland Family Study

Tischler PV. JAMA. 2003

- Factors associated with sleep disordered breathing
  - Age
  - Gender
  - вмі
  - Waist-Hip Ratio
  - Serum Cholesterol

#### **Prevalence**

- The current prevalence of sleep apnea is about 5%.
- Incidence is about 2% per year for AHI > 15

Young T. Am J Respir Crit Care Med 2002. Tischler PV. JAMA. 2003.

## Gender Hormonal Effects

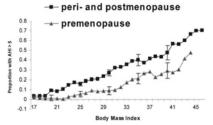


Figure 2. Prevalence of SDB indicated by an AHI of 5 or greater for premenopausal women and perimenopausal plus postmenopausal women by body mass index (BMI). Values represent a 5-unit moving average. The risk for OSA is 3 times greater in postmenapause women

Young T.Am J Respir Crit Care Med 2003

## The effects of gender and BMI are affected by aging

- After the age of 50, gender is no longer felt to be an important variable
- After the age of 60, BMI is no longer felt to be an important variable

#### **Snoring**

• 50% of men, 25% of women snore



#### **History in OSA**

- Snoring
- Sleepiness
- Witnessed apneas
- Family history
- Erectile Dysfunction
- · Mood, memory, attention problems

#### Excessive Daytime Sleepiness (EDS)

 An inability to stay awake, even in situations when wakefulness is important.

#### **Fatigue**

 A state of overwhelming, sustained exhaustion and decreased capacity for physical and mental work that is unrelieved by rest.

#### Excessive Daytime Sleepiness (EDS)

 An inability to stay awake, even in situations when wakefulness is important.



#### **Fatigue**

 A state of overwhelming, sustained exhaustion and decreased capacity for physical and mental work that is unrelieved by rest.

#### **Sleepiness**

- Subjectively measured with an Epworth Sleepiness Scale (ESS)
- Objectively measured with MSLT
- Neither sensitive nor specific for OSA
- Accidents due to sleepiness ARE significant

#### Excessive Daytime Sleepiness (EDS)

 An inability to stay awake, even in situations when wakefulness is important.



#### **Fatigue**

 A state of overwhelming, sustained exhaustion and decreased capacity for physical and mental work that is unrelieved by rest.



## The Epworth Sleepiness Scale

How likely are you to doze off or fall asleep in the following situations (0-3 scale):

- · Sitting and reading
- Watching TV
- Sitting, inactive, in a public place
- · As a passenger in a car for an hour
- Lying down in the afternoon
- Sitting and talking to someone
- Sitting quietly after a lunch without alcohol
- In a car, while stopped for a few minutes in traffic

#### **Witnessed Apneas**

- Witnessed apneas may be predictive of sleep apnea
  - Central apnea vs. obstructive apnea
  - Possible value in predicting OSA
- Self-reported apneas do not have the same value

#### Polysomnogram (PSG)

Definition: Continuous and simultaneous recording of physiological variables during sleep



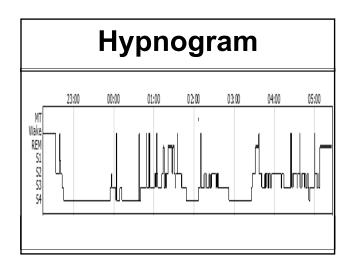
#### **Physical Findings in OSA**

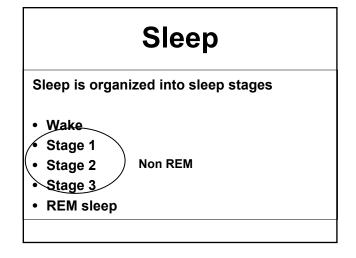
- Obesity is one of the best predictors of OSA
  - 40% of those with BMI > 40
  - -50% of those with BMI > 50
- Neck circumference is a surrogate for central obesity
  - -> 17 inches for men; > 16 inches for women
- Hypertension
  - Loss of morning dip in BP
- · "Narrowed oropharynx"

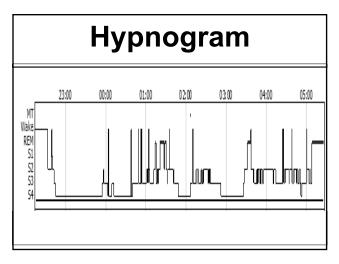
#### **PSG Variables**

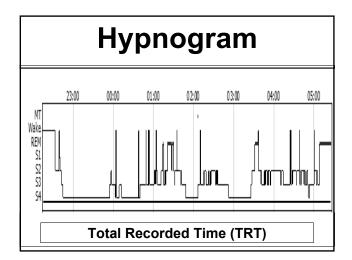
- EEG (electroenchephalogram)
- EOG (electrooculogram)
- EMG (electromyogram)
- ECG (electrocardiogram)
- · Oronasal air flow
- Snoring
- Oximetry
- · Body position

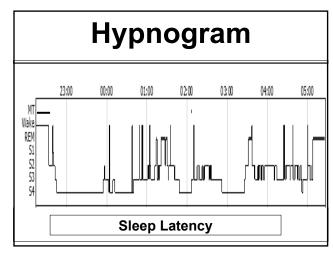
# Sleep Sleep is organized into sleep stages • Wake • Stage 1 • Stage 2 • Stage 3 • REM sleep

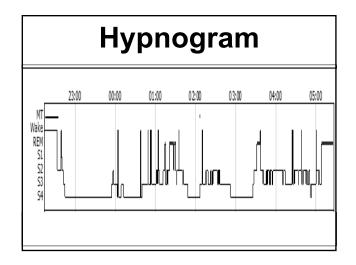


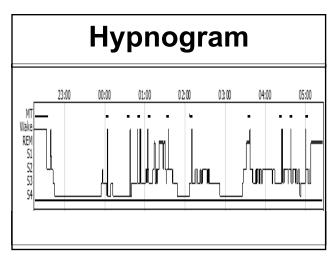


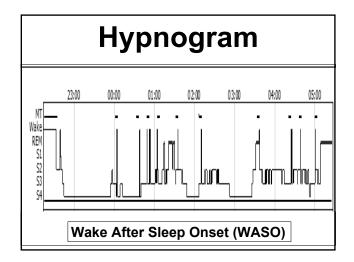


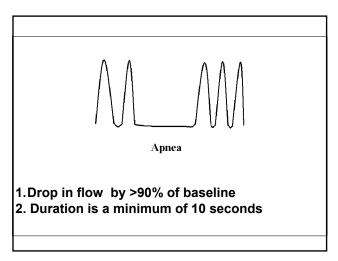


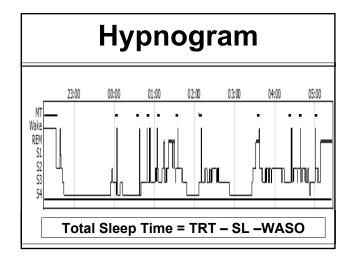


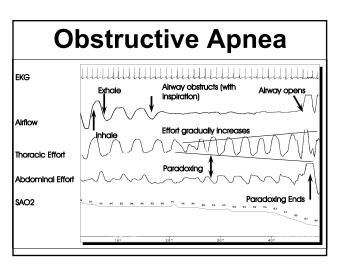


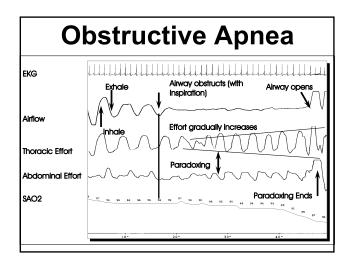


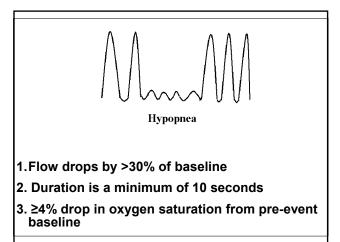


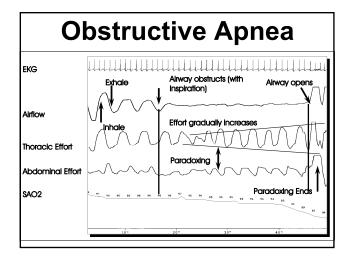


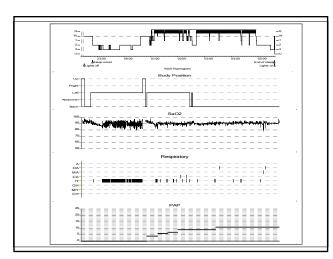












#### **Apnea Hypopnea Index**

#### <u>Total Apneas + Total Hypopneas</u> Total Sleep Time

AHI ≥ 5 events/hr

mild

AHI ≥ 15 events/hr

moderate

AHI ≥ 30 events/hr

severe

#### **Outline**

- · Who do we treat
- What are the forms of treatment
- Health consequences associated with untreated OSA and benefits of treatment

#### **Treatment of OSA**

Meena Khan, MD

Division of Pulmonary, Critical Care, Sleep, and Allergy

#### **Treatment of OSA**

- · Recommendations for treatment
  - AHI ≥ 15/hr regardless of symptoms.
  - AHI ≥5 and ≤ 14/hr with symptoms
    - Excessive daytime sleepiness, impaired cognition, mood disorders, insomnia

or

Documented hypertension, coronary artery disease or stroke

#### **Treatment of OSA**

- · Behavioral modification
  - Weight loss
  - Positional therapy
- Interventional treatment
  - Positive airway pressure
  - Oral appliance
  - Surgery

#### **Lateral Positional Therapy**

- Encourage patients to sleep in the non supine position
- Consider in those pts whose AHI in the supine position is 2x more than in the nonsupine AND the non-supine AHI <5/hour.</li>
- Methods: posture alarm, ball on pajama top, pillows, or simple instruction
- · No long term efficacy studies available.

#### **Weight Loss**

- Weight Loss of 5-10% can decrease collapsibility of upper airway
- Diet/exercise
- Bariatric surgery

## Positive Airway Pressure (PAP)

- Gold standard of therapy
- Delivers pressurized air via close fitting nasal mask
- Pneumatic splint



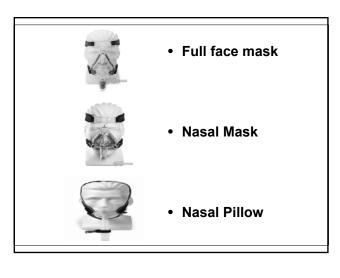
## Positive Airway Pressure (PAP)

Positive airway pressure is mostly delivered by a continuous positive airway pressure device (CPAP)



## Positive Airway Pressure (PAP)

- · Abolish apnea/hypopneas
- Diminish snoring
- Improve oxygen saturation
- Improve sleep quality
- · Reduces subjective and objective sleepiness
- Improves cognitive function
- Improves psychological well being
- · Improve quality of life



## Positive Airway Pressure (PAP)

- Compliance less than 50%- use of >=4hrs a night 70% of nights
- Mask discomfort
- · Pressure discomfort
- Nasal congestion
- Dryness
- Claustrophobia

## Positive Airway Pressure (PAP)

- Other PAP Devices
- BIPAP
  - One pressure for inhaling and one for exhaling
  - 10/6 as opposed to CPAP 10
- Automatic adjusting CPAP
  - Measure flow and give pressure accordingly- can vary through the night
- C-flex
  - Drop pressure at beginning of exhalation

- · Following patients on PAP therapy
- · Objective monitoring
  - Smart Card
  - Remote monitoring
- · Monitor response to therapy
- · Monitor side effects
- Education!!!!!!!







#### **Humidifier**

- AASM recommends the use of heated humidification to improve the utilization of CPAP therapy
- The original CPAP humidifiers used cold, "passover" humidification to reduce nasal dryness and congestion.
- Newer models now use heated humidification to provide patients with an extra measure of comfort.
- PAP therapy is gold standardcompliance is low- device is difficult to tolerate
- Other treatments have evolved for those unable to use PAP therapy

#### **Oral Appliances**

Mandibular repositioning device



Tongue retaining device



## Tongue Retaining Device (TRD)



Tongue held in a bulb using suction

Hold the tongue forward.

Useful if large tongue, poor dentition or poor protrusive ability

## Mandibular Repositioning Appliances (MRA)



Cover the upper and lower teeth.

Hold the mandible in a forward position

Need >=8 teeth on upper and lower arch

Fixed or adjustable

#### **Oral Appliance**

Anterior movement of tongue or mandible can increase cross sectional area of upper airway in apneic and non apneic patients

#### **Oral Appliance**

- Not as effective as CPAP but some studies do show preference to CPAP
- AASM recommends using in those with mild to moderate OSA who prefer OA to CPAP, do not respond to CPAP, are not candidates for CPAP, or fail CPAP and behavioral treatment

Sleep 2006;29(2):240-243

#### **Surgical Treatment**

- Nasal obstruction
  - Septum, turbinates
- · Pharyngeal area
  - Uvula, soft palate
- · Hypopharyngeal area
  - Base of tongue obstruction
- Tracheotomy



Copyright 2005 by Elsevier Science

#### **Oral Appliance**

- Side effects of oral appliance
  - Excessive salivation
  - TMJ pain
  - Change in bite

### Uvulopalatopharyngoplasty (UPPP)

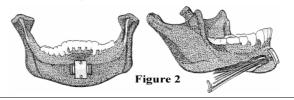
- Address the palate and lateral pharyngeal tissue
- Remove tonsils if present





#### **Genioglossus Advancement**

- · Base of tongue
- Genial tubercle (where the genioglossus muscle attaches to mandible) is advanced to place tension on tongue to limit it falling back during sleep



## Maxillary Mandibular Osteotomy (MMO)

Base of the tongue obstruction

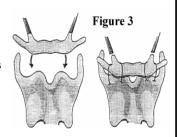


 Increase posterior airway space



## Hyoid Myotomy and Suspension

- · Base of tongue
- Adjunct to genioglossus advancement
- Hyoid complex helps maintain the upper airway space
- Move hyoid forward to increase upper airway space



#### **Surgical Treatment**

- About <=50% effective- when addressing base of tongue may be higher
- · Best outcome is with MMO
- Not many long term studies

#### Surgical treatment

- · Possible adverse events of surgery
  - Infection
  - Tooth anesthesia
  - Root canal
  - Seroma
  - Numb lip or chin

#### Associations of Untreated OSA

## Persistent Daytime Sleepiness in OSA

- A subgroup of patients with OSA remain sleepy despite CPAP.
- Modafinil, a wakefulness promoting agent, has been found to improve daytime alertness in these patients.
- Need to check adequacy of sleep hours, CPAP compliance, and presence of concomitant sleep disorders prior to start.

#### **Traffic Accidents**

 There is a strong association between OSA and the risk of traffic accidents.

> Teran-Santos. N Engl J Med 1999 Young, Sleep 1997

 Impairment on simulated driving performance in patients with OSA is reversed by CPAP. This effect is evident early (first few days).

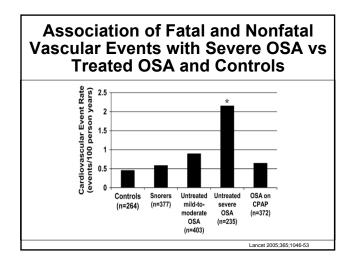
George CF, Thorax 1997

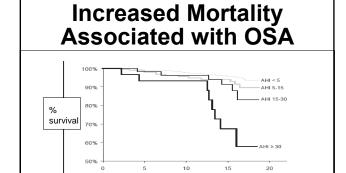
CPAP decreases vehicular crashes in patients with OSA.

George CF, Thorax 2001

## Prevalence of OSA in Cardiovascular Disease

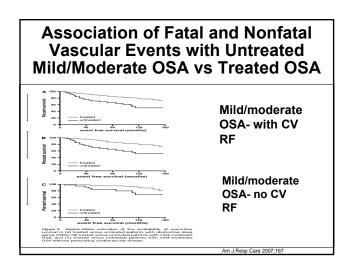
- Hypertension- 30-83%
  - Difficult to control hypertension
- Congestive heart failure- 12-53%
- Ischemic heart disease- 30-58%
- Association with insulin resistance
- Association with recurrence of atrial fibrillation





- Wisconsin Group -1522 people for 13.8 yrs
- · Severe OSA associated with all cause mortality

Sleep 2008;31(8);



## Other Factors Associated with CPAP trt of OSA

- Improved daytime LVEF
- Decreased systolic BP
- · Improved insulin sensitivity
- Decreases recurrence rate of Afib after cardioversion

#### **Conclusions**

- Important to ask patients and about symptoms of OSA and send for evaluation and treatment if appropriate
- Treating OSA has been shown to improve sleep quality, daytime sleepiness, and quality of life
- Evidence that untreated OSA is associated with hypertension, cardiovascular events, cerebrovascular events, and death
- Treating OSA can improve these outcomes.